heard anything to indicate that either ST. CLAIR or GUMPERZ were engaged in espionage activity and stated that Mrs. ST. CLAIR told her on one occasion that she has a house located at East Hampton, Long Island. She advised that ST. CLAIR and Mr. GUMPERZ often go that to spand week-ends. Mr. MIGHURATI was asked to maintain a watch on mail received by GUMPERZ and on a subsequent; date he was contacted and advised test no mail of a suspicious nature was noted. He advised at this time that in his Spills the subject was Jewish and that he also thought Mrs. St. CLAIR appeared to be Jewish although he was not certain.

The following is a description of JULIAN GUAPERZ:

Born
Place of birth
Race
Hair
Eyes
Complexion
Height
Weight
Business

Mey 12, 1898

Hew York, N.Y.

White

Bald

Brown

Light 5' 81/2"

Economist, 610 Fifth Avenue

MARGIT LIDIA BUSCH

PJC

on September 11, 1945 Special Employee that when the neme records at Immigration and Maturalization and advised that under the neme LYDIA BUSCHOVA ST. CLAIR a record was located indicating that she had declared her intentions of becoming a citizen on September 10, 1941 in the U.S. District Court, Southern District of New York, This record revealed that she was born in Amstria on February 19, 1898; and that she entered the United States from Rousee Point, New York on December 4, 1940 from Montreal, Ganadas and that her last foreign address was Peris, France. She stated that she was married to ARMOST BUSCH on September 30, 1917 at Bratislava, Csechoslovakia; and that he was born in Prague, Csechoslovakia, on May 20, 1882 and at the time of the declaration he was residing at 474 West 238 Street, Bronx, New Yorke The subject's mother's name was stated to be CAROLINA DE POLLEHOOD while he father's name was BERHOLD WERHEIMER. It was also noted that the subject was employed as an actress by the Playwhight Company, 680 Fifth Avenue, New York Citye

Miss LETHAN, Secretary, Playeright Company, Shiefirth Agenus, advised that LYDIA ST, GEAR was registered with that congern; and that she has taken several minor rolls as an adtress in New York City. She advised that the main part which St. Clair had was that of Marie In the play "Player to the West". From information contained in the files it was learned that the subject speaks German, French and English; that she attended school in

MY 65-15398

Lussene, Paris and also in Switzerland and is the wife of a glass manufacturer, whose name was not given. It was further stated that in 1938 the subject was starring in the play "Mina" in Prague, Csechoslovakia and in May of 1930 while attempting to escape the Germans, had gone to Strassburg and then to France and that she finally escaped and was able to obtain passage with her nother on the SS. "George Washington" and ease to the United States, It was also stated that she has lectured to dramatic glasses at Vassar College at Poughkeepsie, New York, and that during her career she acted in the following plays:

HIMA
HEDDA GOBLER
MISS JULIE
PRIVATE LIVES
FLIGHT TO THE WEST

Niss LEIMAN was unable to furnish any information regarding the subject's patrictism because she was not personally acqueinted with her. However, she referred the reporting agent to Mr. ELMER EROWN, 186-West 55th Street, New York City. She stated that EROWN was stage manager for the play Flight to the West and that probably he knew something about subject ST. CLAIR. Through contact at 186 West 55 Street, it was learned from Mr. FREEL that EROWN had left that place and could be reached through the Actors Equity Assocation, 45 West 47th Street.

Mr. FRANCIS CLARK, secretary, Actors Equity, 45 West 47th Street advised that EMER EROWN could be reached at the Longacre Theater, 48th Street and Righth Avenue. Upon being contacted, Mr. EROWN advised that in his disouscions with St. Clair during the running of Flight to the West he had gathered that she was not engaged in any subversive activity. He stated that she had never indicated in her talk that she was pro-Masi and stated as a matter of fact from her political discussions, he had observed that she was enti-Maxis He suggested, however, that the reporting agent contact a women by the name of LILY ERENTANO, 250 West 85th Street in view of the fast that Miss ERENTANO was also in the play Flight to the West and was better acquainted with the subject then he was. LILY ERENTANO was contacted and advised that she knew nothing about St. Cleir's background except that she was a refugee and come to this country from Czechoslovekia. Miss MENTANO knew nothing derogatory regarding St. Clair and advised that app arently St. Clair's people in Czechoslovakia were quite wealthy and that she probably brought some of the memby with here. She advised that the part which subject St. Clair had in Filipt to the Mest was ertimaly enti-dati and that St. Clair appeared to take the part well is now of the Past that it apparently agreed with her political convictions. LILY
RESTAND advised that the person one would prebably know more than subject SEC
Clair than anyone else was kiss JAES BRODER who spergies as an actual agent with offices in the Times Building, Times Square, New York. Upon contact JAHE HODER

stated that she has known St. Clair since 1940; that she got a part for Sta Clair in Flight to the West; and that she was a huge success. She advised that she has not St. Clair's husband; that he too is a Csechoslovarian; that both fled from Czechoslovakia when the war started. She advised that the subject's husband also goes under the name of St. Clair because that name is more Americanised and that he formerly was President of a large glass factory in Csechoslovekia; that when he escaped the Germans he went to Canada where he attempted to get in some type of glass work but that he was not able to make a go of it. Miss ERODER stated that she has often discussed world problems with both Mr. and Mrs. St. Clair and that she is positive that both She advised that subject St. Clair possess an extreme hatred for the Masis. has two brothers presently in Gsechoslovakia and that this was also one reason why she changed her name because she did not want my harm to come to these brothers because she had taken an anti-Mazi part in the play Flight to the West. Miss ERODER also stated that when St. Clair come to the United States she brought her mother with her and that her mother had cancer and had passed away sometime in July or August of 1945.

Miss HRODER advised that the subject has a home at East Hampton, Long Island; that she often invites her friend and her husband's friend JULIAN GUMPERZ on week-ends and that she also maintains a home at 247 Waverly Place. She advised that the subject's husband was engaged in a small business on the Bowery where he makes all types of pottery under the name OMEGA POTTERY Company, 112 Bowery, New York City. Mrs. DON GORHMAN, 247 Waverly Place, advised that she rents the third floor spartment to Mrs. St. Clair. She stated that Mrs. St. Clair does not live at this address but spends most of her time at her home in East Hampton, Long Island. However, she does receive mail at 247 Waverly Place.

Mrs. GORHMAN advised that according to gossip around the house, Mr. and Mrs. St. Clair are not getting along too well in their marriage life and that is the reason they probably do not live together. She advised that she has never seen anything suspicious on the part of St. Clair or her husband and apparently both were legitimate refugees from Csechoslovakia.

Road, Bronz, made available the file regarding the husband of St. Clair whose full meme was stated to be ERNEST PETER BUSCHOVA. He was born on May 20, 1882 at Prague, Caedioslovakia, and his wife was stated to be LYDIA BUSCHOVA. He stated that he was employed by himself at the CHECA POTTERY Company, 112. Bowery; his residence at the time of registration on April 27, 1942 was at 474 West 258th Street.

Mrs. I.B. FITCH, Manager at the above address, advised that Mr. and

Mrs. St. Clair were there from January 22, 19 41 until September, 1942. She advised that Mrs. St. Clair did not stay at home se very much and that she was under the impression that she had a home at another place in the city. She advised that Mr. St. Clair was a quiet person who went about his own business and who did not appear to be involved in any suspicious activity.

Mrs. DAHLERSE superintendent of the building at 16 East 80th
Street, which is the former address for Mrs. 87. Ulair, and advised that she
had only recently taken over the operation of this house and that the former
superintendent had gone into the army and was presently an ambulance driver in
England, consequently not available for an interview.

Mr. EDWARD FONSELL, superintendent at the building 904 Park Avenue, former address of St. Clair, advised that he did not recall the subject St. Clair but that Mrs. WATTENBERG of 66 East 69th Street, was the agent for the spartment house and would probably be sequainted with St. Clair.

Upon contact Mrs. WATTENBERG advised that St. Clair was an actress that she gave lectures at Vassar College; and that her husband and mother lived at 474 West 258th Street, Bronx, N.Y. She stated that apparently St. Clair did not get along with her husband inasmuch as she had a frequent wisitor to her apartment at 904 Park Avenue. She also stated that the subject! husband was much older; that he was a writer; and that she did not know of any subversive activities regarding subject St. Clair.

Under date of November 19, 1945 Confidential Informant whose identity is known to the Bureau, advised that Mrs. BUSCH was in possession of Czechoslovakien Passport #169-37. It was further stated that her husband, Dr. ARHOST BUSCH, was a loygloitizen of Czechoslovakie of good moral character. The report from Confidential Informant is being made part of the New York Field Division file for further reference.

A check at the Credit Bureau of Greater New York was made by Special Employee with negative results. A check at the Bureau of Criminal Identification, New York City Police Department, reflected that LYDIA ST. CLAIR of 247 Waverly Place and 904 Park Avenue was given two traffic tickets under the respective dates September 25, 1942 and November 50, 1942.

The following is a description of MARGIT LIDIA BUSCH:

Born Piace of birth Sex Color Complexion February 19, 1898 Instria Female Natio

Heir Height Weight Employment Citizen Green-brown Auburn 5° 4" 120 actress Csechoslovakis

-CLOSED -

MY 65-13398

CONFIDENTIAL INFORMANTS

The identities of the confidential informants mentioned in the dated January 19, 1944 at report of Special Agent

Tel

T-2

Office of Censorship, New York City

Bankers Trust Company, 45rd Street and Fifth Avenue Mew York City

nockefeller Center, Inc. New York City

By request the above individuals are being carried as confidential informents

J.S.

61-7421-13 CHANGED TO 77-26776-11

HEREIN LINGLINGSIFIED DATE Shifts BY 9123 mice

REPORT of the



Limited Clarsification Review Conducted See Top Serial Form 4/174

FEDERAL BUREAU OF INVESTIGAT **WASHINGTON D. C.**

Tes

July 27, 1948

MAX HURKHEIMER! with alience DR. PREDERICK POLLOCK INTERNAL SECURITY - R

Examination requested by:

Reference:

Los Angeles

Examination requestibilities dated June 8, 1948

Specimens:

Cryptanalysis

YOUR FILE NO.

FBI FILE NO.

LAB. NO. 100-6036 61-7421-1/

RECORDED - 9? CR-10395 BR

EX-91

ALL INFORMATION CONTAINED

Qc2 Copy of a telegram received by Dr. Pollock at the Uplifter Hanch Sents Monice, Celifornia and beginning Thangerous is riding and signed "Alright".

Results of Expedientians Welice

Specimen Only extending characteristies indicating the possible presence conselled selficient in the great the entryption of Only could be developed, and of a command definition of upsetfix the provider of Out could be developed, as no occommission asymbol could be identified. However, one phosibility is that the cablegram might be a past-transposition type of distant. No proof could be developed so support this less three different transpositions of the ways becomenication of the pending iters

MAILED

FEDERAL BUREAU OF INVESTIGATION" U. S. DEPÄRIMENT OF JUSTICE

BECKINE ...

RIDING AMERICAN AUTOMOBILE WITH SWISS PLATE CORIDERS BEAHING SUITCASES IS NOT DANGEROUS MIGHT TRAINS OVERCROWED

RIDING SWISS NIGHT TRAINS WITH AMERICAN CORIDERS BEARING SUITCASES PLATE IS NOT DANGEROUS AUTOMOBILE OVERCHONDED

RIDING AUTOMOBILE WITH AMERICAN PLATE SWISS CORIDERS BEARING SUITCASES IS NOT DANGEROUS NIGHT TRAINS OVERCHONDED.

Another possibility in connection with this specimen is the use of a private word code not available to the Laboratory.

It is pointed out that the small amount of text constituting this specimen makes a substantiation of any assumption as to concealed meaning entremely difficult.

Specimen Qc2 is being returned herewith.

FEDERAL BUREAU OF INVESTIGATION UNITED STATES DEPARTMENT OF JUSTICE Limited Classification Review Conducted Laboratory Work Sheet See Ton Gerial Form 4.7 6/15/48 2:00 p.m. 61-7421 - 14 MAX HORKHEIMER, was; Lab. # CR-10295 BR DR. FREDERICK POLLOCK INTERNAL SECURITY - R no Lat File Examination requested by: SAC, Los Angeles (100-6036) Date of reference communication: June 8, 1948 Date received: June 14, 1948 として Cryptanalysis Examination requested: Examination by: Result of Examination: Characteristics of conseded mening. may be transposition type or private word corde ALL INFORMATION CONTAINED The pullet antiolism or proof that HEREIN IS UNCLASSIFIED BY LOS this even leget ime to somewhitens. Specimens submitted for examination 1. Check Deuble meaning weller 3. Cleck of DM file

Copy of a telegram received by Dr. Pollock at the Uplifter Ranch, Santa Monica, California and beginning "Dangerous is riding" and signed "Alright".

U.S. Weather cade.

4. Line up left (Checked stupe named and hevere (1+2 progressions (10) 6. Periode (letter) 5-20

2. Pariade (washe) 2-10

8. Transposition (attempts to an agran for keywood .) (words).
9. Checked 15+ letters of words through marconic detentioned (#CV).
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Re:

(over)

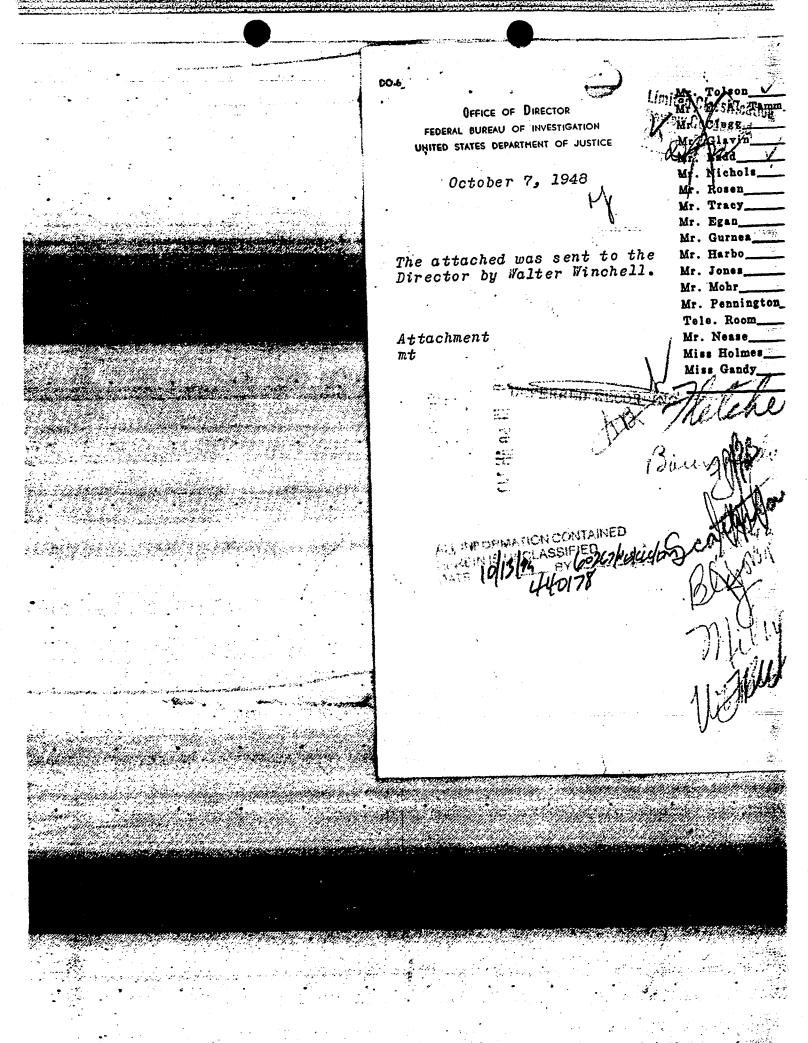
14. Cheshed all ade books in Crys Library for 15. Considered printille of dectioning code?

1. Will partice + mightime (abstroney) addition 2. with each individual revide a deflacent additions note: To really elect this would need-2. name of dectionary 16. Child Rail feare transpositions Words. 18. Clack of syclasted (3) using normal total Cyrillic appointed 19. If monalphabetei substitution - sont source - because of occarrity of letters - mening metial letter, last, or posset. exten, etc.). 30. Transposition - Rente columnes, any con of 2. Ind letter of words 3 List letters of words 4. Penultimete letter of words. Bengraus is judy overerally. Hering bears history authorises the seith mitter busine parts mitter NLT 102 Pelesch Sandamonies (calif)

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T about 1948 may 17 dm 6 2 1948 ps 400 T

ice Memorandum • united states government DIRECTOR, FBI DATE: -Review Weightend See Tow Gerial SAC, LOS ANGELES Form 47 SUBJECT: MAX HORKHEIMER, was; DR. FRELERICK POLLOCK INTERNAL SECURÎTY - R ATTENTION: FBI LABORATORY Enclosed herewith is a copy of a telegram received by DR. POLLOCK at the Uplifter Ranch starting "DANGEROUS IS" and signed "ALRIGHT". It is requested that the Laboratory examine this message for any hidden meaning or other secret message. The telegram should be returned. For the information of the Bureau the two above captioned individuals were subjects of an investigation instituted by the El Paso office in 1941 in which this office was made origin. In the report of Special Agent (A) E. P. HAIR dated July 15, 1941 at Los Angeles, entitled MAC HORKHEIMER, was; DR. FREDERICK POLLOCK, it was reported that HORKHEIMER was in the habit of sending telegrams and sometimes signing them "ALRIGHT". For this reason it is believed that it was HORKHETMER who sent the enclosed telegram. The indices of this office reflect that both subjects were connected with the New School for Social Research and that both were also connected with HANS VISIER. It is requested that the enclosed telegram be returned to the Los Angeles Office upon completion of the examination. JRB: MBP 100-6036 ALL INFORMATION CO Encl. (1) REGISTERED



SEPT. 29th.1948. WALTER WINCHELL, c/o DAILY MIRROR SCOOP FOR YOUR PAPER AND RADIO BROADCAST. DIVORCE CASE BEFORE JUDGE BAIRD, LOS ANGELES, CALIF., WILL EXPOSE THE COMMUNIST CREW AT COLUMBIA COLLEGE. IT INVOLVES DR. FELIX WEIL) DR. MAX HORKHEIMER, AND DR. FREDERICK FOLLACK, OF THE INSTITUTE OF SOCIAL RESEARCH, 429 WEST 117th ST., NEW YORK CITY. THIS INSTITUTE BESIDES HARBORING COMMUNISTS, IS A COVER-ALL FOR TAX EVASION. INCOME TAX MEN SHOWLD TAKE NOTE. ALL INFORMATION CONTAINED

\$8 DFC 6 .1048

RECORDED 672 - 7421-15-

SAC, New York

October 27, 1948

Mrector, FM

PELIX LUCIO JOSE WEIL
INTERNATIONAL INSTITUTE FOR SOCIAL RESEARCH
SECURITY MATTER - C
New York File 100-26504
Copy for MY file 100-13299

Limited Classification Review Conducted See Two Serial Form 4774

The Bureau has received information from an anonymous source the made same available to Walter Winchell to the effect that a divorce case before one Judge Baird in Les Angeles, California "will expose the Communist crewist Columbia College. It involves in Felix Well, In Max Morkhelmer, and Dr. Frederick Polleck of the Institute of Social Research, h29 West 117th St., New York City." The unknown source also elleged that this Institute "besides harboring Communists, is a cover-all for tax evasion. Income tax men should take note."

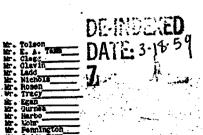
Bureau files reflect that Felix Weil and the International Institute for Social Research are subjects of a closed Internal Security - C case in the New York Office. (HY file 100-2650h) Max Harkheimer and Frederick Pollock are subjects of a closed Internal Security - C case in which both the New York and Los Angeles offices have submitted reports. (NY file 100-13299; LA file 100-6036)

The Los Angeles office should be alert for any information concerning the divorce case mentioned above and should furnish any information received by that office to the New York office as well as the Bureau which it may receive garding the allegations of Communist activity on the part of the above individual

The New York office should also be alert for information of this name and if such information indicates same to be desirable, the New York office should institute investigation for the purpose of determining the extent of Communist activities on the part of the individuals and the organization mentioned herein.

100-106126 cc - 61-7h21

cc - Los Angeles (100-6036) IGD:mfs



COMMUNICATIONS SECTIONS

MAILED 13

OCT 27 1948 P.M.

FEDERAL BUREAU OF INVESTIGATION

H. S. DEPARTMENT OF JUSTICE

ARA MARINE

ER DFC 6 194811

QPCA-20 (12-3-96)

XXXXXX XXXXXX XXXXXX

FEDERAL BUREAU OF INVESTIGATION **FOIPA** DELETED PACE INFORMATION SHEET

	Deletions were made pursuant to the exemptions indicated below with no segregable material available for release to you.					
	Section 552	· .		Section 552a		
	□ (b)(1)		(b)(7)(A)	□ (d)(5)		
	□ (b)(2)	0	(b)(7)(B)	□ (j)(2)		
	□ (b)(3)	0	(b)(7)(C)	□ (k)(1)		
			(b)(7)(D)	□ (k)(2)		
	·	🗆	(b)(7)(E)	□ (k)(3)		
			(b)(7)(F)	□ (k)(4)		
	□ (b)(4)		(b)(8)	□ (k)(5)		
	□ (b)(5)	0	(b)(9)	□ (k)(6)		
	(b)(6)			□ (k)(7)		
	Information pertained only to a third request is listed in the title only.	party with	no reference to the su	bject of your request or the subject of yo		
	Documents originated with another Government agency(ies). These documents were referred to that agency(ies for review and direct response to you.					
k	tol leview and direct response to you			The Standard bush EDI of		
≱ 4	Pages contain information furnished to the releasability of this information	by another on followin	g our consultation with	h the other agency(ies).		
<u> </u>	Pages contain information furnished to the releasability of this information	on followin	g our consultation wit	h the other agency (ies). The other agency (ies). The other agency (ies).		
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	Pages contain information furnished to the releasability of this information Page(s) withheld inasmuch as a final disposition at a later date.	on following the control of the cont	g our consultation with	h the other agency(ies).		

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X Deleted Page(s) X No Duplication Fee X for this page

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cc- Mr. Haack Mr. Mansffeld Limitad Massificat Review Conducted See To Serial Form 4 CRET AIR COURTER LIV ROCKIVED - BOARDHAR

RECORDED - 9

EX. - 109

_ 7421-

Dates

To 2

December 7, 1954

Legal Attache

Rio de Janeiro, Brazil

Director, FBI (61-7421)

MAI HORKHEINER Subject SECURITY WATTER

Dr. FREDERICK POLLOCK SECURITY MATTER - C

Reurlet dated Hovember 12, 1954, captioned "Wax Horokheimer; Fritz Pollock, Internal Security - C," copy of which is enclosed to the New York Office.

Legal Attache should recontact to obtain specific details concerning the Commi activities or sympathies of the subjects available through source in Argentina. These details should reflect the dat of pertinent activity on the part of the two subjects.

The New York Office should thoroughly review i indices to determine if available information justifies investigation of these subjects under the provisions of Section 87-C of the Manual of Instructions.

These subjects appear identical with subjects of Hem Tork file 100-13299. Pollook appears to be identical the subject of New York Sile 77-5127-1

200- New York (100-13299) (F/Enologure)

NOTE ON YELLOW: A review of the cited Bureau files relating to subjects does not reflect current activity justifying the security investigation of either subject in the disence of additional information from the foriginal information from the foriginal information from review of indices in the New York of the Good the state of the subject's name appears to the line of the wall of the wall of the state of the subject of the

cc- Foreign Service Desk

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3 DEC 16 1954

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2 5 DEC - 3

COMN-FBI

Office Memorandum · UNITED STATES GOVERNMENT

ro : Director, FBI

DATE: November 12, 1954

SECRET - AIR COURIER

m FROM :

Legal Attache

Rio de Japeiro, Brazil

SUBJECT:

MAX HORONHEIMER; FRITZ FOLLOCK

INTERNAL SECURITY - C

1200

Vin \$17 - 1905

the Joint Brazil-United States Wilitary Commission, advised on November 4 that an Argentine contact of his had told him when he recently was in Argentina that the two subjects are Communists, and are presently engaged in research at Columbia University.

The contact told him that both of them had lived in Germany and had left there before or during World War II and had come to Argentina. From Argentina, they had gone to the United States. He said that HORCKHEIMER had been Dean of Frankfort University while in Germany. Both were "of the Institute of Social Science."

of the subjects were known in the United States, but if further information were desired he would contact his Argentine friend.

The above is for the completion of the Bureau's files, and no further action is being taken by this office unless instructions are received from the Bureau.

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ON 1913 199
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INDEXED.

EX. 109

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Journ John

MC: jar

Office Memorandum · UNITED STATES GOVERNMENT

Passification

January 10, 1955 DATE: (61-7421)Director, FBI Review Conducted Legal Attache FROM Rio de Janeiro, Brazil See Tork Cerial Form 4: MAX HORKHEIMER SUBJECT: SECURITY MATTER - C Dr. FREDERICK POLLOCK SECURITY MATTER - C Reference is made to Bulet dated 12-7-54 instructing this office to recontact By letter dated December 20, 1954, the United States at Buenos Aires, Argentina, was contacted by letter at the suggestion of leaving South America and was not again visiting Buenos, Aires. said that his informant was a who is known to response is contained in his letter of December 30, 1954, two copies of which are attached for the The two copies of the transcript referred to in letter are also attached (no copy being retained at Rio Also attached are the two volumes of "Sozialforschung." the books have been borrowed from the informant, also referred to in for a limited time and their early return is requested. It is suggested that appropriate review of these volumes be made by translators, after which they should be returned to Legat, Rio de Janeiro for forwarding to is prepared to question the informant further after a review has been made of attached SIC to few york with Ere. M Qe RECORDED - 10 INDEXED - 10 EX-120

> Attachment MC: jar

OPCA-20 (12-3-96)

XXXXXX XXXXXX

FEDERAL BUREAU OF INVESTIGATION FOIPA DELETED PAGE INFORMATION SHEET

	Deletions were made pursuant to the release to you.	e exemptions indicated below with	no segregable material available for			
	Section 552		Section 552a			
	(b)(1)	□ (b)(7)(A)	□ (d)(5)			
	□ (b)(2)	(b)(7)(B)	□ (j)(2)			
	□ (b)(3)	(b)(7)(C)	□ (k)(1)			
		(b)(7)(D)	(k)(2)			
		(b)(7)(E)	□ (k)(3)			
		□ (b)(7)(F)	□ (k)(4)			
	□ (b)(4)	□ (b)(8)	□ (k)(5)			
	□ (b)(5)	(b)(9)	□ (k)(6)			
•	(b)(6)		□ (k)(7)			
₽ □	Information pertained only to a third party with no reference to the subject of your request or the subject of y request is listed in the title only. Documents originated with another Government agency(ies). These documents were referred to that agency(if for review and direct response to you.					
	Pages contain information furnished by another Government agency(ies). You will be advised by the FBI as to the releasability of this information following our consultation with the other agency(ies).					
	to the releasability of this information	ation following our consultation w	ith the other agency(les).			
	to the releasability of this information	ation following our consultation w	ith the other agency(ies). been made. You will be advised as to			
	to the releasability of this information. Page(s) withheld inasmuch as a fi	ation following our consultation wi	been made. You will be advised as to			
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4	Page(s) withheld inasmuch as a fidisposition at a later date. Pages were not considered for relative page(s) withheld for the following. The following number is to be used.	inal release determination has not lease as they are duplicative ofg reason(s):	been made. You will be advised as to			
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4	Page(s) withheld inasmuch as a fidisposition at a later date. Pages were not considered for relative page(s) withheld for the following. The following number is to be used.	inal release determination has not lease as they are duplicative ofg reason(s):	been made. You will be advised as to			

XXXXXX XXXXXXX XXXXXXXXXXXXXXX

cc. Yr. Haack Mr. Mansfield

SAC. New Tork (100-13299) (77-5727)

January 20, 2775

Director, FBI (61-7421)

DR. FREDERICK POLLOCK SECURITY MATTER

DECLASSIFIED BY COUNTY WHILE

पपण १४ Re Bulet to Legal Attache, Rio de Janeiro December 7, 1954, a copy of which was faraiched to the New York Office. Attached are single gepies of the following items:

- Letter from Legal Attache, Rio de Janeiro, Brazil, (2) dated January 10, 1955.
- (2) Letter from United States Embassy, Buenos Aires, Argentina, dated December 30, 1954.
 - (3) Transcript of comments of concerning Borkheimer and Pollock.
 - (4)"Sozialforschung" 1933.
 - "Sozialforschung" 1934. (5)

とて It is requested that you have Special Agent review the attached copies of "Sozialferschung" and in particular the articles written by the subjects for information indicating that Horkheimer and Pollock were Communists or were sympathetic to Communism. Thereafter, as requested in re Bulet, you should determine if information available justifies investigation of either subject under existing instructions relating to the opening of security investigations. In the event an investigation is opened on either subject and you consider the reinterview of destrable, you exould subsit a list of questions which you desire to be put to

It is requested that the review of the attached copies of "Sorialfereching" be made as even as reasonably possible and that they be returned to the Bureau impediately thereafter for Seguarding to the Legal Attache in Rio de Janeiro.

Englisheres (5)

200 Sepal Attacks (Fore Information) kio de Janeiro, katil

COMM - FBI ∑N 2 O 1955

Belmoor Herbo Mobe Parsoci

Tele. Room

SEE NOTE ON PAGE 2.

cc- Foreign Service Desk

NOTE ON YELLOW:

Letter from Legat Rio 11/12/54, stated unknown informant of in Rio had said the subjects were Communists. Letter supplied no basis for such statement. Review of Bufiles did not reflect sufficient activity to justify opening of Security investigations. Re Bulet requested Legat Rio to obtain specific details concerning allegation that subjects were Communists and requested New York to review indices to determine if information available justified opening of security investigations on the subjects.

Translation Unit, Laboratory Division, has advised that SA in New York City has excellent knowledge of German language and sould quickly accomplish the review of the above-mentioned publications.

Original 32 - Legal Attache cc - Mr. Haack Mr. Mansfield RECORDED - 97 EX-125 March 10, 1955 Date SECRET AIR COURIER Legal Attache To: Rio De Janeiro, Brazil Director, FBI (61-7421) Fromt Subject: MAX HORKHEIMER SECURITY MATTER - C DR. FREDERICK POLLOCK SECURITY MATTER - C Reurlet dated January 10, 1955. Enclosed herewith are single copies of the following items: "Sezialforschung" 1933. "Sozialforschung" 1934. New York letter to the Director dated March 1, 1955. The copies of "Sozialforschung" should be 10 returned in accordance with the request of s set out in relet. No further inquiry of is desired at this time. Enc I de cc - Foreign Service Desk NOTE ON MELLOW: Neither subject on SI. LEGAT MARI 4 COMM - FBI MAR 18 1955

Office Memorandum • UNITED STATES GOVERNMENT

DATE: Director, FBI (61-742) SAC, New York (100-13299) inducted. Dr. FREDERICK POLLOCK SM-C MAX HORKHEIMER SM-C Re Bulet to NY, 1/20/55. "Sozialforschung" Enclosed herewith are the two copies of to be forwarded to the Legal Attache in Rio de Janeiro. exemined volume II, issue #3 (1933) and volume III, issue #3 (1934) of Zeitschrift Fuer Sozialforschun (Periodical for Social Research) "Published for the Institute for Social Research by MAX HORKHEIMER." has translated excerpts from these publications and has set forth the following observations concerning his reading of these periodicals:-A review of the two issues of instant publication disclosed that the contents of the various articles are scientific in nature rather than propagandistic. Although the articles deal with a variety of subjects of scientific theory in the social and economic field and represent the viewpoints of the various individual. writers responsible for these articles, there appears to be a common ground permeating the entire contents of the publication. This common ground is characterized by (1) what appears to be a general consensus of opinion that our present economic system is undesirable, antiquated and doomed; (2) that it will sooner or later be replaced by a system wherein the affairs within the individual nations and eventually in the world as a whole, will be governed by "rational planning" rather than by traditions and the desire to preserve "economic individualism," "property rights" and free "utilization" of the latter. In the field of religion and philosophy, the basic attitude pears to be definitely anti-religious and materialistic. / Wital RECORDED - 97 6/-Encls. (2) Entean (MAKAHORKHEJMER) 2-Los Angeles. 1-NY 100-1238 IN JMAX HORKED THER) HANDEXED

aspects of religious belief are viewed as modern forms of superstition closely tied up, as to origin and their present day function, with the economic structure of capitalism.

It is to be noted that nowhere in these two issues examined have the authors mentioned communism or indicated their attitude towards communism. Furthermore, no explanation has been offered as to the reasons for this omission in spite of the fact that the to the reasons for this omission in spite of the fact that the ideas expounded in these publications appear to coincide in many respects with what is being practiced in Russia today.

A possible explanation may be that when these issues were published in 1933 and 1934 in Paris, it was during a time when the communist as well as the Socialists among the German refugee element were attempting to establish a united front and to play down the divergence of views existing between the two groups.

The translated excerpts are not being set out at this time.

A review of the NY files reflect that this office is in possession of information both favorable and unfavorable, concerning the two subjects. The files further reflect that Dr. MAX HORKHEIMER'S last known residence was and still may be 13524 D'este Drive, Santa Monica, Calif. Dr. POLLICK'S last address is reflected as 21 Latimer Road, Santa Monica, Calif.

has received information from the Legal Attache, Rio de Jeneiro,

Brazil, that one

Jects are communists. He apparently based much or all of his
allegation on the writings of the subjects which appeared in the
two publications as set out above.

The Bureau has requested that information concerning these subjects in the files of the Name has reviewed to determine whether a security investigation be conducted.

The Los Angeles office is requested to verify the residence of the subjects as set out above and advise the NYO. In the event the subject's maintain their residence there, the NYO will forward the subject's maintain their residence there.

to Los Angeles any additional information contained in the NYO which is not possessed by LA, so that Los angeles office might determine whether an investigation should be conducted.

It is not deemed necessary at this time to submit a list of questions to be asked since no determination has yet been made as to whether an investigation is to be conducted or not.

Office Memorandum • United States Government

Director, FBI (61-7421)

5/20/55 DATE:

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See TepiSerial

SAC. New York (100-13299)

Dr. FREDERICK POLLOCK

ALL INFORMATION CONTAINED HEREINIFUN

Form 4-174

MAX HORKHEIMER

SM - C

1/20/55.

Re Los Angeles let to NY, 4/20/55, and Bulet to NY,

The following information is not being reported at this time but is being set out below in this letter. Since the subjects have resided in the Los Angeles Division for the past several years, the determination as to what information is pertinent is being left to the Los Angeles Office.

A review of the NYO references reflects that Los Angeles Office is in two distinction to the information concerning the subjects, their associates, and the Institute of Social Research, including NY report, 1/13/43, by SA entitled "FREDERICK POLLOCK: DEPARTMENTAL APPLICANT, EXPERT - ANTITRUST," NY 77-5727.

Investigations have been conducted in the past on various individuals, who have been affiliated with the Institute of Social Research. Characterizations of these individuals are not being set forth at this time. inasmuch as Los Angeles is in possession of much of this information concerning these individuals.

The Bureau, by letter dated 1/20/55 enclosed a letter from the Legal Attache, Rio de Janeiro, Brazil, 1/10/55, which is classified Secret, which enclosed the results of an interview with by Colonel GEORGE R. HERRMAN, Air Attache, Buenos Aires, Argentina, South America, dated 12/30/54.

In Colonel HERRMAN's letter, 12/30/54, to the Legal Attache, American Embassy, Rio de Janeiro, Brazil, is written that

RM

2-Bureau (MAX HORKHEIMER) (RM)

- 2-Los Angeles (MAX HORKHEIMER)(100-516539(RM)

2-Los Angeles (100-51654) (FREDERICK POLLOCK) (RM)

1-NY 100-123311) (MAX HORKHEIMER)

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lapse of time. Is very vague and in many instances could not supply detailed information and seems to support his allegations by two volumes of "Sozialforschung," which, according to contain the names of many known Communists. Further states that a transcription of the articles contained in these two volumes will reveal the Communist pattern of Dr. POLLOCK and HCRKHEIMER's works.

In this interview, gave background information concerning the Institute of Social Research and its origin, which included his statement that the Institute became affiliated with Columbia University and carried out Communist activities in the form of scientific research in Sociology, which, in effect was Communist indoctrination.

In this statement, which is included in the same time in 1945 the Sires Group was forth that at about this same time in 1945 the Sires Group was endeavoring to help Mr. WITTFOGEL, who was in prison in London, England. Upon the release of Mr. WITTFOGEL, they hoped to send him to China "obviously to pursue Communistic activities."

 $a_{r_{o'}}$

in this letter, advises that can no doubt supply much additional data and recommends that, if additional data is required, this effice be furnished a detailed list of questions.

For the information of the Les Angeles Office, the Bureau has advised that, in the event that investigation is opened on either subject, should yould consider the reinterview of desirable and should submit a list of questions which you may desire to put to

NY file 100-13299. SA has concluded that from a review of these two issues of the instant publication he believes that the contents of the various articles are scientific in nature rather than propagandistic. Although the articles deal with a variety of subjects of scientific theory in the social and economic field and represent the viewpoints of the various individual writers responsible for these articles, there appears to be a common ground permeating the entire contents of the publications. This common ground is characterized by:

- (1) What appears to be a general consensus of opinion that each present economic system is undesirable, antiquated and doomed, and
- (2) That it will sooner or later be replaced by a system wherein the affairs within the individual nations, and, eventually, in the world as a whole, will be governed by "planning" rather than by traditions and the desire to preserve "economic individualism," "property rights" and "free utilization" of the latter.

It is to be noted that nowhere in the issues submitted have the authors mentioned Communism or indicated their attitude toward Communism. Furthermore, no explanation was offered as to the reasons for this omission, in spite of the fact that the ideas expounded in these publications appear to coincide in many respects with what is being practiced in Russia today.

NY file 62-0-298 contains a memo, 6/14/35, in which is reflected that SA interviewed Mr.

US Internal Revenue Service, Customs House, NY, on that date, at which time Mr. MARTIN advised that a friend of his, one at the Waldorf-Astoria, NY, NY, had recently advised him that a Dr. FREDRICH POLLOCK was due to arrive in NY on the "SS Champlain"; that Dr. POLLOCK is a radical and has been engaged in disseminating radical and Communistic information in Germany, and that he, no doubt, was coming to America

to continue in such radical activities; that Dr. POLLOCK is is a member of the International Institute of Social Research, is a member of the International Institute of Social Research, NYC, and is very friendly with Dr. MAX HORKHEIMER, JULIAN NYC, and Is very friendly with Dr. MAX HORKHEIMER, JULIAN OGUMPERZ and LOTTE SCHNEIDER, all of whom are engaged in disseminating radical information.

It is not known by the NYO whether this is identical with the above-mentioned

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NY file 100-26504-17 contains the information that

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was interviewed in early 1943 by SA She advised that she was acquainted with individuals in the Institute of Social Research and stated that she had to a dmit that all persons connected with this institute had been her personal enemies in Germany inasmuch as their political philosophy had been different from hers, but she would attempt to be objective in her description of them. She stated that the members appeared to be sympathetic to Stalinism as they had never attacked Russia while intellectual liberals in Germany had, at various times, been anti-STALIN. She named the big four of the Institute as FELIX WEIL, MAX HORKHEIMER, FREDERICK POLLOCK and LEO LOWENTHAL. She did not know of any of these individuals as members of the CP in this country, but it was very likely that they belonged to the CP in Germany and certainly were in sympathy with it. She asked that her name be concealed.

NY file 100-26603-944 contained a report of confidential informant ROBERT M dated 1/29/43, in which he lists individuals whom he alleges to be former German Communists, fellow travellers or Communist stooges, as follows:

MAX HORKHEIMER

F. R. POLLOK

FELIX WEIL

KARL AUGUST/WITTVOGEL

concerning who is temporarily residing in Los Angeles, the Los Angeles office is referred to airtel dated 3/1/55, entitled FORMER CONFIDENTIAL INFORMANT." and to airtel to Los Angeles, 5/9/55, entitled was;

SM - C."

In NY file 100-26504-27, there is contained a Bureau letter to NY dated 2/9/44 enclosing photostat of testimony of FREDERICK POLICK, Acting Director of the International Institute of Social Research, 429 West 117th Street, NYC, given before the Interdepartmental Visa Review Committee en 1/14/44, in connection with the visa application of one WOLF FRANCE. During this testimony, which consisted of questions and answers, FREDERICK POLLOCK stated that his political convictions are about the same as one who is a student of convictions are about the same as one who is a student of social Science and interested in Communism, but not believing in it. In answer to a question whether he thinks Communism would work in the US and why, he answered, "No, because in the nearly 10 years of experience I have had with American people. I have travelled quite a lot in this country and I met many Americans... I think Americans are so much opposed to regimentation that even if Communism could give them a sort of a Utopia, they would not accept it. They would just dislike it. If food stamps would give them 10 times more food, they would still want to buy their food without stamps."

In answering other questions, he stated that he would not say that there was any attempt on the part of Communists to infiltrate the Institute,.. There were students who were Communists,..there was no restriction as to political parties.

NY file 100-26504-25 contains the information that, in the latter part of 1943, Dr. FRIEDRICH BAERWALD of Fordham University related to SA HARVEY E. RATH that he had lived most of his life in Frankfort, Germany. He said that the Institute of Social Research was commonly known in Frankfort as "Cafe Marx," reflecting the popular opinion that it was a leftist or theoretically Marxist organization; that the group was a leftist organization on a scientific level and that its

tendencies were definitely of an academic nature, rather than political. He said that members of the Institute are what he would term "theoretical Marxists"; that the Institute was composed of professional sociologists and economists, was composed in Marxism, was of an abstract nature; that the interested in Marxism, was of an abstract nature; that the material published by the Institute has absolutely no mass material published by the Institute has absolutely no mass appeal, and, therefore, could not possibly serve as a vehicle for Marxist propaganda; that the work of the Institute was esoteric in that through their writings the members of the Institute virtually talk among themselves. RUC.

Office Memorandum • UNITED STATES GOVERNMENT DATE: June 28, 1955

DIRECTOR, FBI (61-7421)

SAC, LOS ANGELES (100-51653) (100-51654)

SUBJECT:

Dr. FREDERICK POLLOCK

MAX HORKHEIMER

SM - C

Re New York letters to Director, dated 3/1/55 and 5/20/55.

In referenced letter, dated March 1, 1955, the New York Office advised that the Bureau had received information from the Legal Attache, Rio de Janeiro, Brazil, that one had stated that Dr. FREDERICK POLLOCK and MAX HORNHELMER were Communists. According to referenced letter much or all of his allegation on the writings or these individuals which appear in the publication "Zeitschrift Fuer Sozialforschung". This letter also noted that a review of the translation of pertinent parts of "Sozialforschung" disclosed that the contents were scientific in nature, rather than propagandistic, and nowhere in the issues translated and examined had the authors mentioned Communism or indicated their attitude toward Communism.

On the basis of information set forth in referenced letter, dated May 20, 1955, Los Angeles was requested to determine whether or not an investigation should be conducted in this case. Information set out in this letter indicated the academic nature of POLLOCK and HORKHEIMER'S interest in Communism and lacked substantial factual information that these individuals were or have been Communists.

The indices of the Los Angeles Office reflected only that information regarding POLLOCK and HORKHEIMER submitted to the Bureau in the case entitled, "FELIX LUCIO JOSE WEIL, International Institute of Social Research, SM-C, Bureau file 100-106126, Los Angeles files 100-30307 and 100-6036.

In Los Angeles file 100-6036, it is noted that MAX HORKHEIMER forwarded a coded telegram from Carlsbad, New Mexico to Dr. FREDERICK POLLOCK, New York City, on April 19, 1941, the telegram being signed "Alright" by the sender. A letter from the FEI Laboratory to Los Angeles, dated July 15, 1941, reflected that translation of aforementioned telegram was examined but no evidence of a cryptographic nature was found.

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Los Angeles file 100-6036 also reflected that another telegram was sent to Dr. POLLOCK, signed "Alright", presumed to be MAX HORKHEIMER on the basis of previous information that HORKHEIMER was in the habit of sending telegrams and statements, signing them "Alright". This elegram was submitted to the FBI Laboratory for examination of any hidden meaning. The FBI Laboratory by letter dated July 27, 1948, hidden meaning. The FBI Laboratory by letter dated July 27, 1948, hidden meaning exception of advised that the message "exhibits characteristics indicating the possible presence of a concealed message. No specific decryption of Qc2 (the telegram in question) could be developed, and no concealment system could be identified. However, one possibility is that the cablegram might be a word-transposition type of cipher. No proof could be developed to support this...

Another possibility in connection with this specimen is the use of a private word code not available to the Laboratory."

Tt is pointed out in the letter from the Laboratory that the "small amount of text constituting this specimen made a substantiation of any assumption as to concealed meaning extremely difficult."

Los Angeles file 100-30307 reflected the following pertinent information regarding Dr. FREDERICK POLLOCK and MAX HORKHEIMER:

The Bureau received information from an anonymous source that a deportation case before one Judge BAIRD in Los Angeles, California will expose the Communist crew at Columbia College". It involves Dr. will expose the Communist crew at Columbia College". It involves Dr. FELIX WEIL, Dr. MAX HORKHEIMER, and Dr. FREDERICK POLLOCK of the Institute of Social Research, 429 West 117th Street, New York City, New York.

on November 17, 1948,

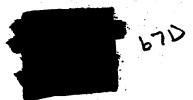
appeared at the Los Angeles Office to
activities of Dr. FELIX WEIL, Dr. MAX HORKHEIMER, and Dr. FREDERICK
POLLOCK, and the instant International Institute of Social Research.

Investigation disclosed that the original allegations made by
admittedly were deductions on
admittedly were deductions on
her part and not substantiated by any evidence other than that WEIL
had associated with HANS EISLER and other individuals. There is no
information in the file to substantiate

International Institute of Social Research.

IA 100-51653

A review of the information concerning POLLOCK and HORKHEIMER in the Los Angeles Office and information set out in referenced letters disclosed no basis for instigating an investigation at this time since all information on these individuals indicated an academic and theoretic interest in Communism and Marxism at the most, and no report of acts or statements on their part would indicate they are a threat to the United States. In addition, the following informants, who are familiar with Communist Party (CP) activity in the area of POLLOCK'S residence and HORKHEIMER'S former residence in the Santa Monica area, all advised SA ROBERT R. CARMICHAEL on June 21, 1955 that they know of no CP activity on the part of POLLOCK or HORKHEIMER.



For the information of the Bureau, it is noted that Miss MARGOT VON MENDELSSOHN, 11931 San Vicente Boulevard, West Los Angeles, California, was contacted under pretext and advised she has been secretary for the above individuals for fifteen years; that Dr. POLLOCK is performing private study in connection with the International Institute of Social Research and resides at Muehlebach Strasse, Zuerich, Switzerland, temporarily; that Dr. HORKHEIMER is presently teaching at Frankfort University, Frankfort, Germany, and resides at Frankfort on Main, Westendstrass #79, Frankfort, Germany.

Miss MENDELSSOHN further advised that Dr. POLLOCK will, in the near future, return to his home, 21 Latimer Road, Santa Monica, California.

In view of the information set out above, these cases are being placed in a closed status.